

Exhibit 300: Capital Asset Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview & Summary Information

Date Investment First Submitted: 2009-06-30
Date of Last Change to Activities: 2012-02-29
Investment Auto Submission Date: 2012-02-29
Date of Last Investment Detail Update: 2012-02-24
Date of Last Exhibit 300A Update: 2012-08-23
Date of Last Revision: 2012-08-23

Agency: 024 - Department of Homeland Security **Bureau:** 30 - Citizenship and Immigration Services

Investment Part Code: 02

Investment Category: 00 - Agency Investments

1. Name of this Investment: USCIS - Infrastructure (Enterprise)

2. Unique Investment Identifier (Ull): 024-000003129

Section B: Investment Detail

- 1. Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

Infrastructure (Enterprise) (IE) investment coordinates, directs, manages and oversees the design, development, and integration of the enterprise IT infrastructure that supports USCIS in order to ensure that all systems and related applications are available to users. The primary mission of IE is to "keep the lights on" by maintaining, upgrading, and supporting all of the systems used by USCIS employees and contractors, applicants, and anyone from other federal, state, and local government agencies that seek USCIS data or use USCIS systems. As a result, the bulk of the IE budget is devoted to O&M type activities, including operations and maintenance of 5 data centers, emergency response to outages, and routine hardware and software upgrades and patches. Because of Agency and Department initiatives and requirements, however, a portion of the IE budget is dedicated to Planning and DME efforts with a focus on consolidating, streamlining, and standardizing operations, storage, and other applications to reduce out-year O&M costs."IE provides and is responsible for providing all operational and engineering support services to USCIS enterprise infrastructure components including networks, servers, voice components, data centers and databases. IE stakeholders are the USCIS employees and contractors, applicants, and anyone from other federal, state, and local government agencies that seek USCIS data or use USCIS systems. In addition to USCIS, data in the systems is routinely provided to other DHS partner agencies, the FBI, and various state and local law enforcement agencies. Immigrants seeking citizenship are also

stakeholders as they use the data systems to arrange appointments with their caseworkers and view the status of their cases. Customers are both internal and external to USCIS. Internal customers are the employees and contractors providing USCIS services. "The IT enterprise infrastructure supported by this investment provides the network and service backbone that enables the computer services they need to perform their duties and a cost-effective, secure, manner. External customers are the applicants who request benefits provided by USCIS. These customers receive more efficient access to USCIS information and a faster response from USCIS employees. External customers also include other DHS partner agencies such as the USCG, ICE, and CBP, as well as the FBI and various other state and local law enforcement agencies".

2. How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.

This is a mixed investment. The O&M portion of this investment provides for the operations and maintenance of existing systems in support of USCIS's overall mission. The AC&I part of this investment primarily funds upgrades and changes in technologies required to support the Transformation Project (separate OMB 300) and upgrade and standardize other existing technologies to streamline operations, decrease overall lifecycle costs, and replace end-of-life technologies. Upgrading equipment minimizes downtime, provides additional capabilities and improves operational performance. This enhanced performance allows IE to process transactions faster and more securely and in addition gain efficiencies. The beneficiaries of the IE program are all of the stakeholders and the field operations groups that depend on the IT systems developed and maintained by EID. Dependencies for this program are primarily related to TPO (separate OMB 300); most of the DME work being done by EID at this time is to support the TPO effort. If this program is not funded, then high visibility projects, such as VIS (Verification Information System) migration, Transformation and DHS Data Center migrations will not be able to be supported.

3. Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.

During FY 11, OIT :provisioned 20,000 users to Office Communications Server; Migrated 25,000 Email Accounts from ICE Email System; completed upgrade of LANDesk; Completed upgrade Microsoft Active Directory and Exchange 2003; Completed the upgrade Citrix Infrastructure at Service Centers. Completed transition of FTS 2001 Network Circuits to NETWORX Contract; Completed Circuit 19 Circuits Bandwidth for OTC. In FY 11, Data Center Configuration Management: Completed DC1 and DC2 General Service System for USICS Applications; Completed Active Directory and Exchange Design and Configuration. Completed installations: EHS1 GSS infrastructure at DC1; ELIS infrastructure at DC1; ELIS infrastructure at DC2; VIS Infrastructure at DC2; In FY 11, Data Center Administration: Successfully resolved 40 application performance issues to date; Operation and Management Services for 3 Data Centers (more than 35 applications); Provide Services for 13 Development & Test Environments for Transformation.

4. Provide a list of planned accomplishments for current year (CY) and budget year (BY).

Continue network operations, upgrade monitoring capability for servers and software, complete required C&A documentation, complete projects required to support OTC, take over BASICS funding and projects from OTC including email security and archiving upgrades PKI certificates, and additional hardware, software and engineering for enterprise information services, active directory rationalization, continue hardware and software upgrades, provide infrastructure construction to support IT upgrades and new site establishment. the overall goal of these projects is to not only keep the lights on, but also provide an efficient and effective IT infrastructure to support current and future missions and operational requirements.

5. **Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.**

2010-09-10

Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding

	PY-1 & Prior	PY 2011	CY 2012	BY 2013
Planning Costs:	\$0.0	\$0.0	\$0.0	\$0.0
DME (Excluding Planning) Costs:	\$0.0	\$9.4	\$9.5	\$9.7
DME (Including Planning) Govt. FTEs:	\$0.0	\$1.8	\$1.9	\$1.9
Sub-Total DME (Including Govt. FTE):	0	\$11.2	\$11.4	\$11.6
O & M Costs:	\$118.8	\$71.4	\$72.5	\$73.7
O & M Govt. FTEs:	\$19.4	\$3.4	\$3.4	\$3.5
Sub-Total O & M Costs (Including Govt. FTE):	\$138.2	\$74.8	\$75.9	\$77.2
Total Cost (Including Govt. FTE):	\$138.2	\$86.0	\$87.3	\$88.8
Total Govt. FTE costs:	\$19.4	\$5.2	\$5.3	\$5.4
# of FTE rep by costs:	56	28	50	58
Total change from prior year final President's Budget (\$)		\$0.0	\$0.0	
Total change from prior year final President's Budget (%)		0.00%	0.00%	

2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:

Yes. Scope became more defined and funding changed accordingly. In addition, some upgrades that were originally going to come out of the Transformation Budget have been deleted and moved to EID's budget.

Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy

Contract Type	EVM Required	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	Ultimate Contract Value (\$M)	Type	PBSA ?	Effective Date	Actual or Expected End Date
Awarded	7003	HSSCCG10C0017	No	7001							
Awarded	7003	HSSCCG10J00329	HS HQDC06D00026	7001							
Awarded	7003	HSSCCG10J00347	HS HQDC06D00023	7001							
Awarded	7003	HSSCCG10J00135	HS HQDC06D00048	7001							
Awarded	7003	HSSCCG11J00045	HS HQDC07D00026	7001							
Awarded	7003	HSSCCG10J00208	HS HQDC07D00026	7001							
Awarded	7003	HSSCCG11A00009	Yes	7001							
Awarded	7003	HSSCCG11J00047	HS HQDC07D00025	7001							
Awarded	7003	HSSCCG10J00053	HS HQDC05D00004	7001							
Awarded	7003	HSSCCG11J00190	HS HQDC07D00028	7001							
Awarded	7003	HSSCCG12F00079	GS23F0282L	4730							
Awarded	7003	HSSCCG12J00204	HS BP1009D02335	7014							
Awarded	7003	HSSCCG12C00006	No	7001							
Awarded	7003	HSSCCG11J00338	HS HQDC06D00067	7001							
Awarded	7003	HSSCCG12J00264	HS BP1009D02335	7014							

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

All contracts are FFP and all of the services contracts require EVM. The largest active contract, SETI, a FFP services contract is managed using a tiered management group. The EID Chief, Deputy, and CO, meet monthly with their contractor counterparts to cover performance, invoice issues, scope issues, and risks. All of the EID project managers with SETI staff providing assistance also meet monthly, ahead of the Senior IPT, to review invoices, discuss performance issues with the CO, and determine if the work invoiced was completed. At the project level, the project managers report issues directly to the COTR to ensure they are handled quickly. The bulk of the remaining contracts are for the procurement of HW/SW and performance is managed based on delivery and inspection of the ordered items. EI receives monthly reports from contractors, and meets with contractors in multiple forums to discuss contract and project performance -- forums include meetings, presentations and project schedules.

Exhibit 300B: Performance Measurement Report

Section A: General Information

Date of Last Change to Activities: 2012-02-29

Section B: Project Execution Data

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
3	IT Physical Plant/HVAC/Electrical	Provide cabling and other services for Facility expansions and relocations.			
4	IT Data and Voice Systems (hardware Upgrades)	Upgrade data and voice hardware for facility projects and to complete the De-Ice project.			
5	Video Upgrade Project	Provide video teleconferencing equipment for the naturalization rooms, training rooms, and Director conference rooms and all new facilities.			
6	Windows 7 and Office 2010	Upgrade the USCIS desktop to Windows7 and Office 2010 as Windows XP is currently becoming incompatible with new workstations and laptops being purchased and deployed.			
7	Video End-of-Life (EOL)	Refresh Video Conferencing Systems that are no longer supported by the manufacturer. This keeps the core video infrastructure current and supports the new core video upgrade project.			

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
8	Voice EOL	Refresh Voice Hardware and Software that is not longer supported by the manufacturer allowing OIT to keep infrastructure current with manufacturer's recommendations in order to provide continued maintenance and support.			
9	Application & Network Monitoring	Implement end to end monitoring for improved performance of end user systems.			
10	Telework Expansion (Citrix Enterprise Telework Project)	Upgrade and expand the capacity (storage and user licenses) in the Citrix environment to support the Telework initiative.			
11	Data Server Suites	Provide general file servers for unstructured data and support equipment, i.e., racks, backups and tapes.			
12	Data & Voice circuits	Install new circuits to support facilities that require connectivity to the USCIS network.			

Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M)	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
3	IT Physical Plant/HVAC/Electrical							
4	IT Data and Voice Systems (hardware Upgrades)							
5	Video Upgrade Project							
6	Windows 7 and Office 2010							

Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M)	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
7	Video End-of-Life (EOL)							
8	Voice EOL							
9	Application & Network Monitoring							
10	Telework Expansion (Citrix Enterprise Telework Project)							
11	Data Server Suites							
12	Data & Voice circuits							

Key Deliverables

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
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NONE

Section C: Operational Data

Table II.C.1 Performance Metrics

Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
Logistics streamlined	Percent	Mission and Business Results - Management of Government Resources	Over target	85.000000	90.000000	88.000000	90.000000	Monthly
Systems accessible by OCONUS users	Percent	Customer Results - Service Accessibility	Over target	85.000000	90.000000	85.000000	90.000000	Monthly
Project Management Tools in place	Percent	Process and Activities - Productivity	Over target	90.000000	100.000000	90.000000	100.000000	Monthly
System Availability Targets Met	Percent	Technology - Reliability and Availability	Over target	85.000000	90.000000	85.000000	85.000000	Monthly
Maintain costs within 10% of preceding FY levels	Dollar	Technology - Technology Costs	Under target	34.500000	35.000000	36.000000	37.367000	Monthly